



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q79461

Narutoshi HAYASHI, et al.

Appln. No.: <u>10/062,437</u> /0/75

Group Art Unit: 2872 (parent)

Confirmation No.: 2621 (parent)

Examiner: John JUBA, Jr. (parent)

Filed: January 20, 2004

For: POLARIZING PLATE AND LIQUID CRYSTAL DISPLAY DEVICE USING THE

SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

Applicant is not submitting duplicate copies of U.S. Patent No. 6,686,980, U.S. Patent No. 5,706,131, WO 96/07941 and JP 03-058004, which are the references of record in parent application No. 10/062,437, but requests that they are listed on the face of any patent granted on the above application. (See 37 C.F.R. § 1.98(d))

One copy of each of JP 9-197125, JP 8-511109, WO 94/128073 and JP 2001-154022, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/062,437

Attorney Docket No.: Q79461

Action on the merits (whichever is later); or (3) Before the mailing date of the first Office

Action after filing a request for continued examination (RCE) under §1.114, and therefore, no

Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3)

for foreign language documents, Applicant encloses herewith English translations of JP 9-

197125 and JP 2001-154022. JP 8-511109 corresponds to WO 94/28073, a copy of which is

enclosed as well. Applicant also encloses herewith a copy of a Communication from a

foreign patent office dated February 3, 2004, in a counterpart application citing such

documents.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does

not waive any right to take any action that would be appropriate to antedate or otherwise

remove any listed document as a competent reference against the claims of the present

application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 51,283

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: April 27, 2004

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Substitute for Form 1449 A & B/PTO			Complete if Known		
••			Application Number	10/062,437	
TPE IN	<u>FORMATION</u>	DISCLOSURE Y APPLICANT	Confirmation Number	2621 (parent) January 20, 2004	
TE	ATEMENT BY	Y APPLICANT	Filing Date		
· -\			First Named Inventor	Narutoshi HAYASHI	
(use as many sheets as necessary)			Art Unit	2872 (parent)	
<u> </u>			Examiner Name	John JUBA, Jr. (parent)	
Sheet	1	of 1	Attorney Docket Number	Q79461	
& TRADE					
		U.S. PATEN	T DOCUMENTS		

U.S. PATENT DOCUMENTS						
	Cite	Document Number		Publication Date		
	No.1	Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US 6,686,980		02-03-2004	Ichihasi, Mitsuyoshi	
		US 5,706,131		01-06-1998	Ichimura et al.	
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Examiner Initials*	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	
	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation*
		WO	96/107941	Al	03-14-1996	WIPO - Sumitomo Chemical Co.	
		JР	03-058004	Α	03-13-1991	Nippon Kayaku Co.	
		JР	9-197125	Α	07-31-1997	Nippon Kayaku Co	Yes
		JP	8-511109	Α	11-19-1996	Russian Technology Group	
		wo	94/128073		12-08-1994	Russian Technology Group	
		JР	2001-154022		06-08-2001	Nitto Denko Group	Yes

	NON PATENT LITERATURE DOCUMENTS			
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine.			
	Office Action from the Japanese Patent Office dated February 3, 2004			
		 		
		 		
		 		
		 		
		<u> </u>		
		 		
		No. journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.		

Examiner Signature	Date Considered	
	 Date Commune	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.